

# NJ4AI Governance Assurance Report

ILLUSTRATIVE EXAMPLE

Ref NJ4AI-SAMPLE-001 - Sample GCC organisation - Prepared by Not Just 4 AI Ltd

## 01 Executive summary

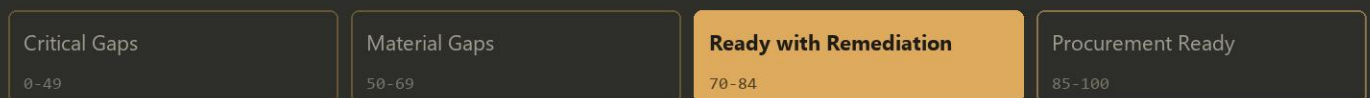
The organisation is assessed as Ready with Remediation - a Governance Assurance Score of 76 / 100. Core policies and ownership are in place; the gap is evidence: several controls are documented but not yet backed by verifiable proof. Three priority gaps drive most of the shortfall. With those closed, illustrative readiness potential rises to up to 88 - Procurement Ready. The organisation can be onboarded conditionally now, with a defined remediation path.

## 02 Governance Assurance Score



Evidence strength is the primary driver; control documentation is secondary; framework mapping is supporting. Detailed weighting is proprietary.

## 03 Readiness band

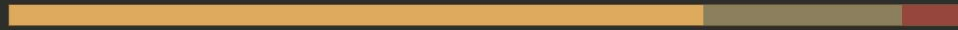


## 04 Evidence overview

The assessment reviewed evidence across the areas below. Coverage is good on documentation; the weaker dimension is observable proof that controls operate.

<b>POLICIES</b> Present across core areas	<b>REGISTERS</b> AI inventory + risk register	<b>APPROVAL RECORDS</b> Partial - gaps on changes
<b>TRAINING EVIDENCE</b> In place, refresh overdue	<b>SUPPLIER ASSESSMENTS</b> Incomplete	<b>AUDIT ARTEFACTS</b> Available, inconsistent

### FRAMEWORK MAPPING (SUPPORTING)



Substantially met 21 - Partial 6 - Priority gap 2 - 29 mapped

## 05 Key findings

- Governance ownership exists, but accountability for AI decisions is not formally assigned.
- Policies are documented; evidence that they operate is inconsistent across systems.
- Supplier oversight is the weakest area - due diligence is not consistently completed before onboarding.
- Framework coverage is strong; the constraint is proof, not policy.

## 06 Governance gaps

- **AI accountability not formally assigned**  
ISO/IEC 42001 6.1 - UAE PDPL Art. 21
- **Supplier due diligence incomplete**  
Procurement prequalification - ISO/IEC 42001 8
- **Evidence collection inconsistent**  
NIST AI RMF MEASURE-2 - ISO/IEC 42001 9.1

## 07 Procurement readiness

On the evidence reviewed, the organisation can be onboarded conditionally: enough is in place to begin, provided the three priority gaps are closed on a defined timeline. The most likely blocker in a prequalification review is supplier oversight - address it first.

## 08 Regulatory readiness

Alignment is substantial against UAE PDPL and ISO/IEC 42001, with partial coverage under NIST AI RMF for measurement and monitoring. The accountability and evidence gaps are the items a regulator or auditor would query first; closing them moves the organisation from aligned on paper to demonstrably aligned.

## 09 Recommended actions

- Assign a named AI accountability owner with decision rights.
- Complete supplier AI due-diligence before onboarding, and backfill for current suppliers.
- Standardise evidence collection across in-scope systems so controls are provable, not just documented.

## 10 Assurance roadmap

0-30 DAYS	30-60 DAYS	60-90 DAYS
Assign accountability; stand up the evidence routine	Complete supplier due-diligence; close approval-record gaps	Re-assess; target Procurement Ready (up to 88)

Illustrative example only. Actual readiness outcomes depend on evidence accepted, remediation completed, and assessment findings.